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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
CHA920010022US1

In Re Application Of: Lacan et al.

JAN 03 2002

C48

Serial No.  
09/988,320

Patent & Trademark Office

Filing Date  
11/16/2001

Examiner  
Unknown

Group Art Unit  
2131

Title: Data Management System and Method

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JAN 08 2002  
Group 2100

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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(Under 37 CFR 1.97(b) or 1.97(c))

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Data Management System and Method

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09/0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.

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\*This certificate may only be used if paying by  
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*Ronald A. D'Alessandro*  
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Signature

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CC:

Dated: December 14, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lacan et al.

: Date: December 14, 2001

Serial Number: 09/988,320

: Group Art Unit: 2131

Filed: 11/16/2001 (new)

: Examiner: Unknown

Title: DATA MANAGEMENT SYSTEM  
AND METHOD

: IBM CORPORATION  
Intellectual Property Law  
: MD90/201-1  
8501 IBM Drive  
: Charlotte, NC 28262

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Group 2100

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INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Commissioner For Patents  
Washington, D. C. 20231

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

X 37 CFR 1.97(b) - No Fee.

       37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated \_\_\_\_\_, which is not more than 3 months prior to the mailing of this statement.

       37 CFR 1.97(c) - Fee.

       37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0469 (IBM).

Respectfully submitted,

By:

Ronald A. D'Alessandro  
Registration No.: 42,456  
Telephone No.: (518) 449-0044

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

CHA920010022US1

Application Number

Applicant(s)

Lacan et al.

Filing Date

November 16, 2001

Group Art Unit

JAN 03 2002

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2001/0044886 A1	11/2001	Cassagnol et al.			
	US 2001/0032168 A1	10/2001	Adamson et al.			
	US 2001/0021926 A1	09/2001	Schneck et al.			
	US 6,314,519 B1	11/2001	Davis et al.			
	US 6,311,270 B1	10/2001	Challener et al.			
	US 6,289,462 B1	09/2001	McNabb et al.			
	US 6,275,814 B1	08/2001	Giansante et al.			
	US 6,260,019 B1	07/2001	Courts			
	US 6,226,745 B1	05/2001	Wiederhold			
	US 6,219,805 B1	04/2001	Jones et al.			
	5,970,464	10/1999	Apte et al.			

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

G. T. Duncan and S. Mukherjee, "Microdata Disclosure Limitation in Statistical Databases: Query Size and Random Sample Query Control," IEEE, pp. 278-287, 1991.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.